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Scott R. Hansen, Reg. No. 38,486

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

U.S. Patent No. : 6,812,213  
Issued : November 2, 2004  
Applicants : Zongqin Xia et al.  
Appl. No. : 10/077,493  
Filed : February 15, 2002  
Title : STEROIDAL SAPOGENINS AND THEIR DERIVATIVES  
FOR TREATING ALZHEIMER'S DISEASE  
Art Unit : 1623  
Examiner : Elli Peseelev  
Docket No.: : HASEL-65749  
Customer No. : 24201

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION**

Dear Sir:

The above-identified patent has been found to have the errors set forth in the enclosed Certificate of Correction. It is requested that this Certificate of Correction be issued and returned to us. Since the errors occurred in the application phase of the patent, an appropriate fee is enclosed. Should the Office determine that any additional fee is required, please charge our account no. 06-2425.

Respectfully submitted,  
FULWIDER PATTON LEE & UTECHT, LLP

02/16/2005 CNGUYEN 00000011 6812213

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100.00 DP

By:

Scott R. Hansen  
Registration No. 38,486

SRH:gbt

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Los Angeles, CA 90045  
Telephone: (310) 824-5555  
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80204.1

Certificate  
FEB 23 2005  
of Correction

FEB 23 2005

Atty. Docket No. HASEL-65749.  
Serial No. 10/077,493

PTO/SB/44 (04-04)  
Approved for use through 04/30/2007. OMB 0651-0033  
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PATENT NO. : 6,812,213

DATED : November 2, 2004

INVENTOR(S) : Zongqin Xia, Y. Hu, I. Rubin, J. Brostoff, B. Whittle, W. Wang, P. Gunning

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please amend "Related U.S. Application Data" to read:

"Continuation of application No. 09/647,110, filed Jan. 11, 2001, now abandoned, which was the US National Phase application corresponding to PCT application No. PCT/GB99/00951, which was filed on March 26, 1999."

Please amend col. 1, ll. 6-8, under "RELATED APPLICATIONS" to read:

"This application is a continuation of U.S. patent application Ser. No. 09/647,110, filed Jan. 11, 2001, now abandoned, which was the US National Phase application corresponding to PCT application No. PCT/GB99/00951, which was filed on March 26, 1999."

### MAILING ADDRESS OF SENDER:

Scott R. Hansen, Esq.  
Fulwider Patton Lee & Utecht LLP  
6060 Center Drive, 10th Floor, Los Angeles, CA 90045

PATENT NO. 6,812,213

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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT NO. 6,812,213

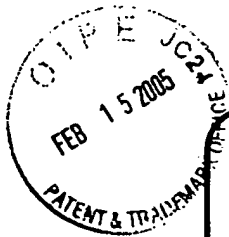
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Patent No. Application Number	6,812,213 10/077,493
	Issued: Filing Date	November 2, 2004 February 15, 2002
	First Named Inventor	Zongqin Xia et al.
	Art Unit	1623
	Examiner Name	Elli Peselev
Total Number of Pages in This Submission	5	Attorney Docket Number HASEL-65749

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2. Certificate of Correction
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	3. Check for \$100.00
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP By: Scott R. Hansen
Signature	
Date	February 11, 2005

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name	Scott R. Hansen		
Signature		Date	February 11, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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